

# Configuration Management Overview



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# Scope of Presentation

NAV CANADA

- Configuration Management
  - Broad subject, changes occur everywhere
- Key areas addressed in this presentation
  - People and Organization
  - Planning, Acquisitions & Prioritization
  - Maintenance Management
  - Engineering Management
  - Configuration Management
  - Future Opportunities

# People and Organization

# Initial Challenges

NAV CANADA



Initial state of operational systems was deficient:

- ineffective investment dominated by major programs
- led to high cost of development and training
- normal “run-of-the-mill” replacements were ignored
- equipment down time led to reduced service levels
- lack of responsiveness led to regional solutions
- multiplicity of regions led to inconsistent configurations
- testing Environment no longer reflected operational configuration
- significant challenges fielding national systems
- incomplete knowledge of what was fielded
- obvious opportunities for changes and improvements

# Organizational Changes

- Consolidate system development to one single location
  - Head Office focuses on development /acquisition and approving Field Modification instructions
  - Regional engineering resources focus on implementation
  - Technical Operations focus on preventative & corrective maintenance, implementing approved field-modifications
  - Operations focuses on ATC operations, requirements, procedures and training
- Demographics of HO group
  - Skill set of new hires aligned to latest needs (software development)
  - More in-house development for software intensive ATM projects
- Small multi-discipline development teams
  - software, logistic support, end-users (including ATC)

# People and Organization Changes



- Shorter project timeframes
  - size project to definable pieces of functionality
  - adopt a “time to market” mindset
  - responsive lifecycle management for each system
- Manage the “Wants Versus Needs”
- Outcome:
  - System configuration management improvements
  - Proactive to required changes
  - Quicker delivery of product to operations
  - Backlog of technology for implementation
  - Significant infrastructure has been replaced

# Engineering By the Numbers



- 470 employees
  - ~300 in HO
- 122 supported systems
  - Hardware platforms
  - Software languages and o/s
- Over 15 million LOC (application)
- 100 major S/W releases per year
- Numerous major H/W field mods/year
- Typically 75 to 100 capital projects per year

# Challenges NAV CANADA Assets



NAV CANADA owns, operates & maintains:

- 7 Area Control Centers;
- 42 Control Towers;
- 64 + 6 (new) = 70 Flight Service Stations;
- 6 Flight Information Centers;
- 51 Community Airport Radio Stations;
- 41 Maintenance Centres;
- 1400 ground-based Navaids;
- 200 plus Voice & Control Switches;
- 45 radars
- 300 sites with weather related equipment



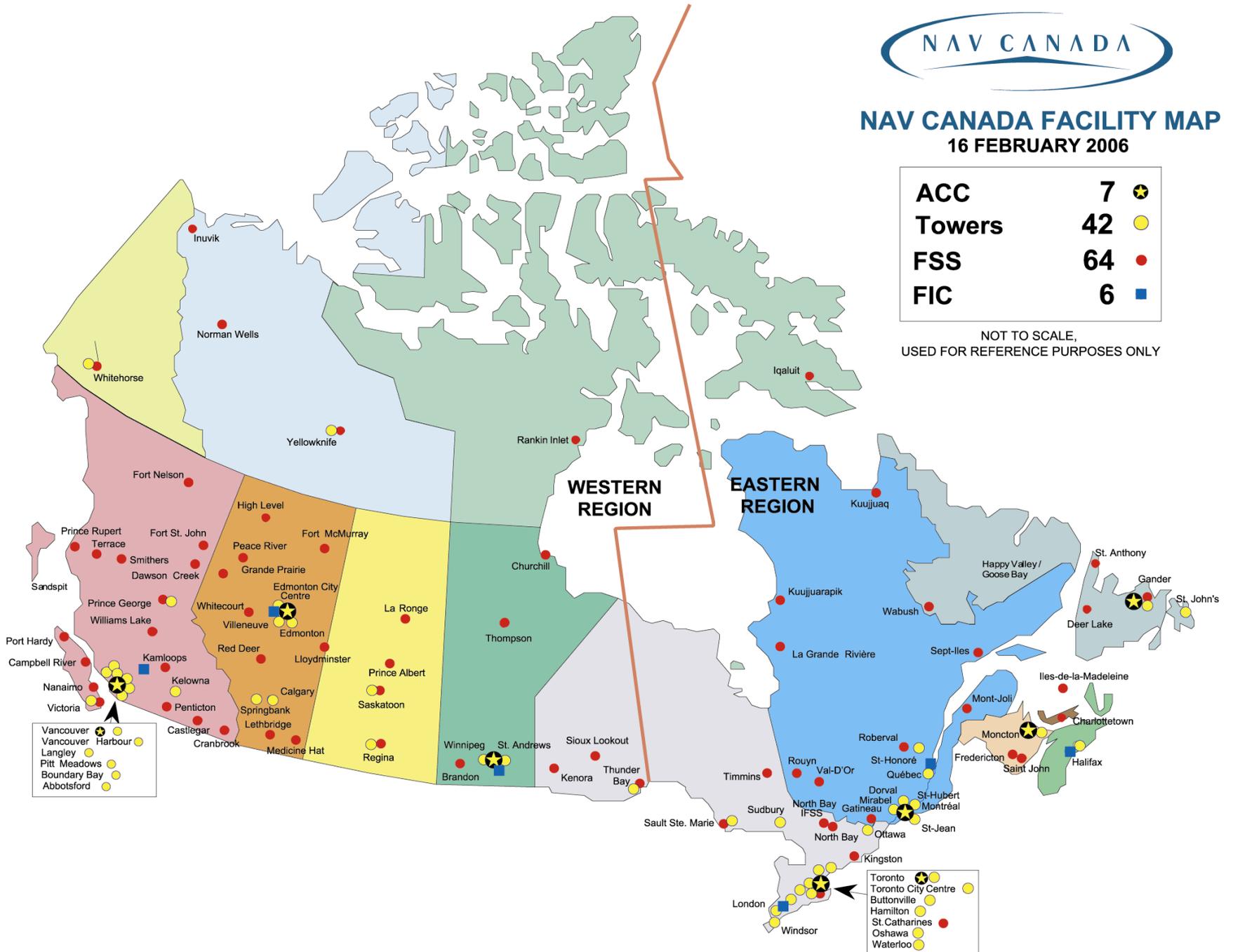


# NAV CANADA FACILITY MAP

16 FEBRUARY 2006

<b>ACC</b>	<b>7</b>	
<b>Towers</b>	<b>42</b>	
<b>FSS</b>	<b>64</b>	
<b>FIC</b>	<b>6</b>	

NOT TO SCALE,  
USED FOR REFERENCE PURPOSES ONLY



# Engineering Program ATM/Training/Infrastructure



- **ATM**

- Radar Data Processing
- Flight Data Processing
- Controller Workstations
- ATC Decision Support Tools
- Weather Systems
- Pilot Information Kiosk (WX self-briefing)
- WX systems

- **Training**

- 360° Tower Simulators - Training
- Radar Simulators/Pseudo Pilots - Training

- **Simulation**

- Airspace Simulators
- S/W Evaluations

- **Responsibility within one organization**

- Operational system and all infrastructure



# Regional Engineering



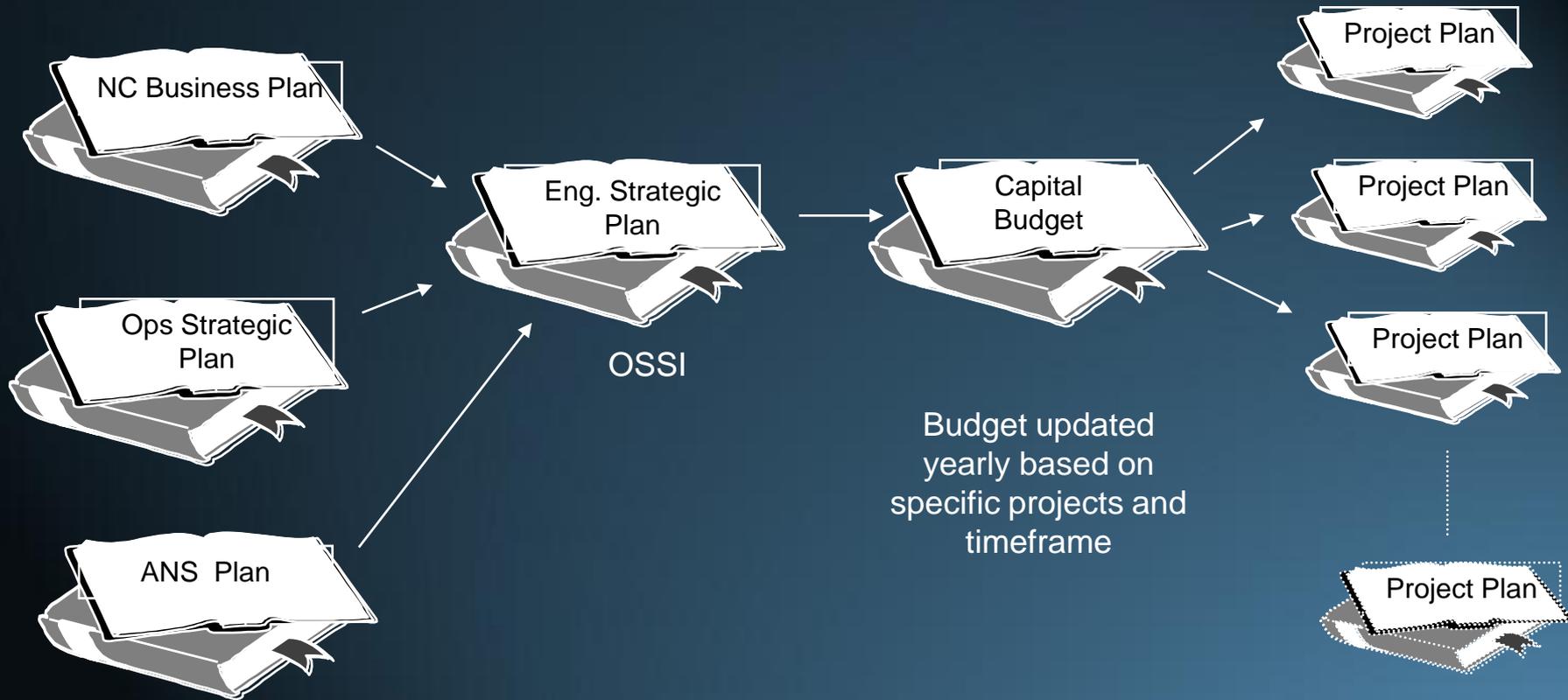
- Planning, installation, testing and commissioning of operational systems in the field
  - CARS mandates competency program for implementation personnel
  - Represents the front (site selection) and end (installation, testing, & commissioning) for Engineering
- Provision of services includes
  - Land use reviews on proposals for Airport Authorities
  - Antenna Clearance forms Review
  - EMI support for on all ANS facilities
  - Second line life cycle support for field systems (first is Tech Ops)
- Telecommunications Services
- Outside Plant Service
- Technical Data Centers



# Planning, Acquisitions & Prioritization

# Corporate Planning

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Project commitments based on  
PARB/CAPEX approvals

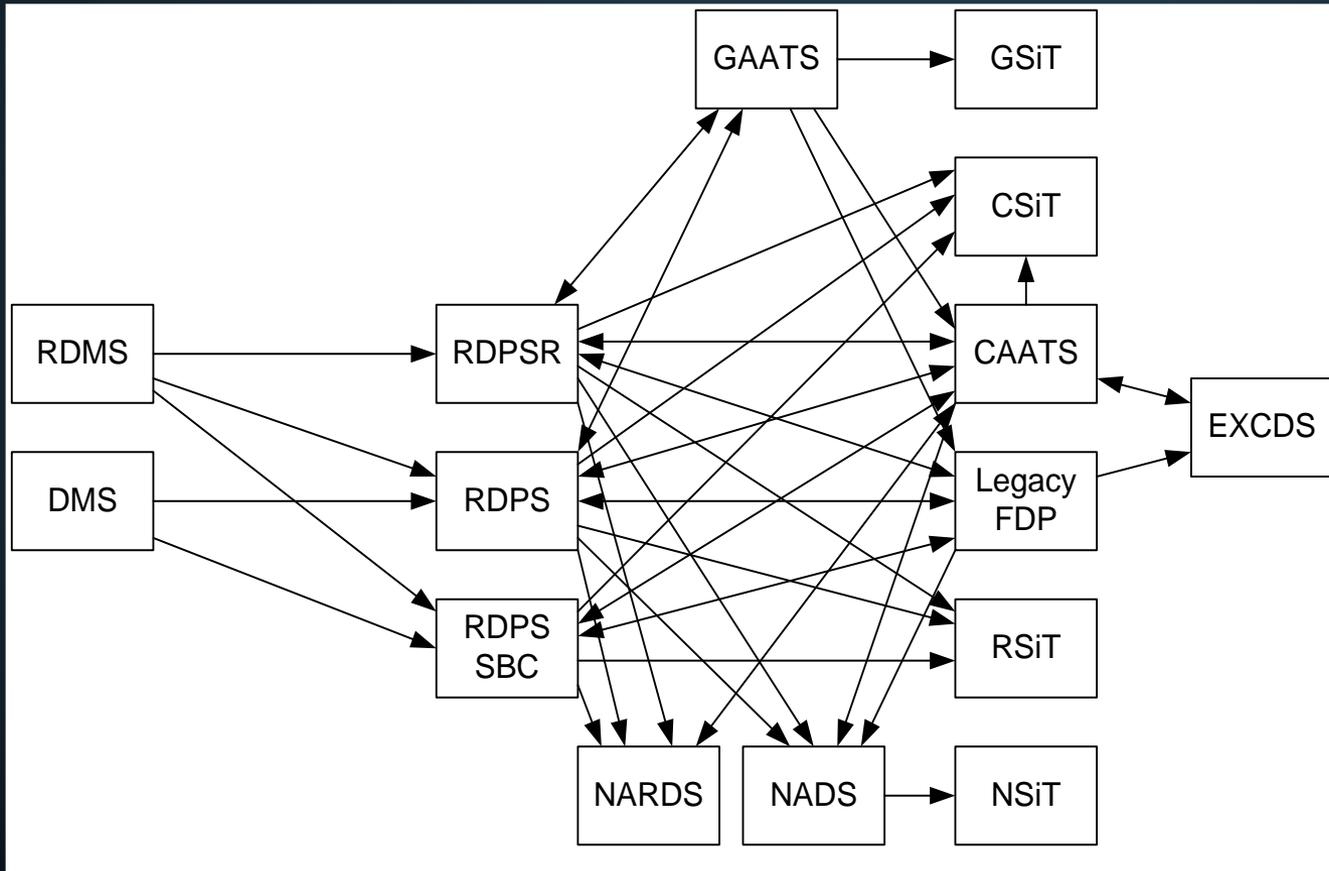
# Acquisition Approach

- Use COTS where practical - primarily CNS
- Custom solution if no COTS – primarily ATM
  - Test marketplace
  - Make buy / build decision
  - Multi-disciplinary teams
  - Develop in increments
  - Reduce number of systems types
  - Established Safety Management System, Configuration Management Process, and Life Cycle Management support services
  - Leverage on experienced in-house staff

# System Consolidations

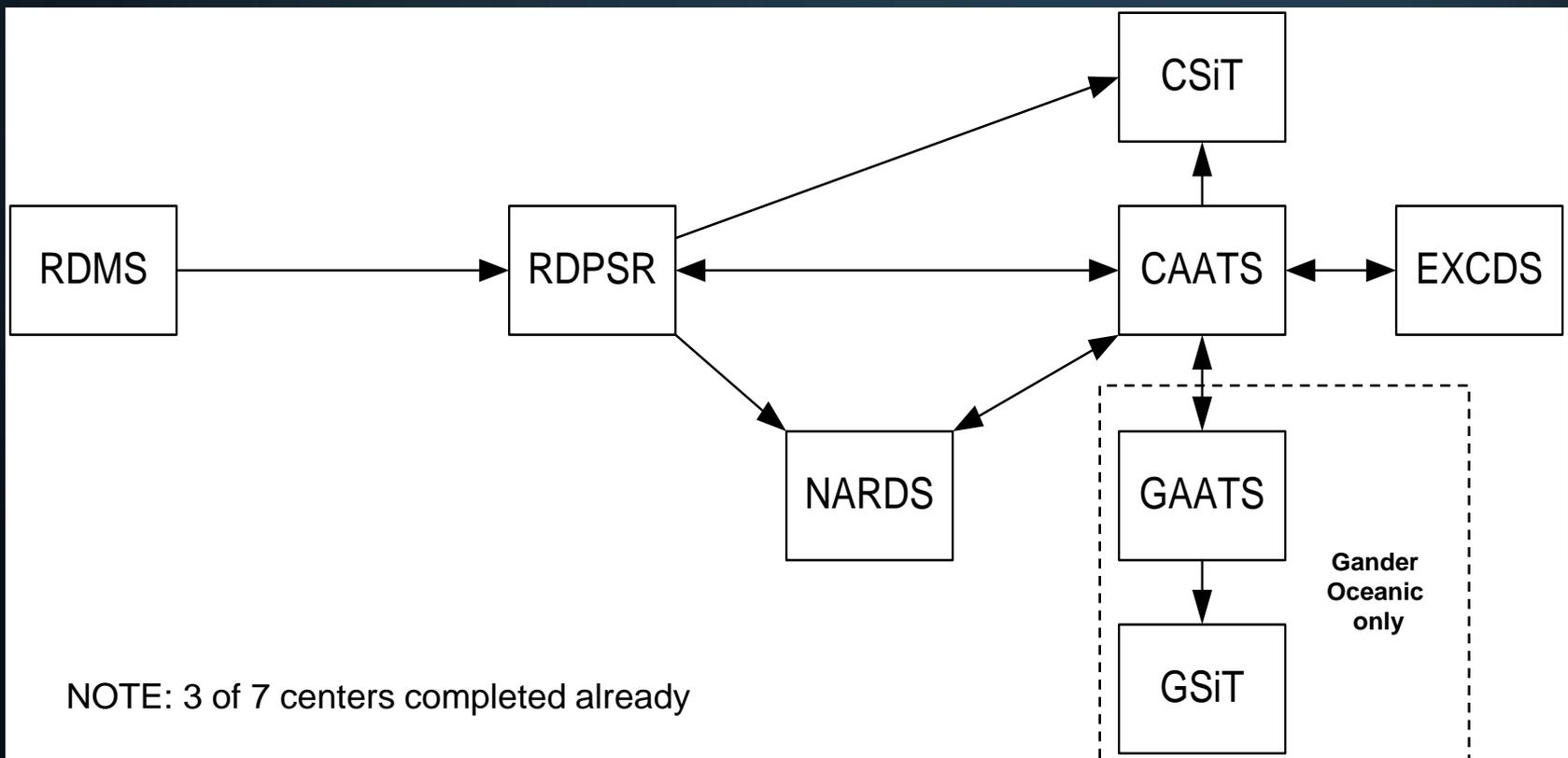
- Consolidated the number of systems in operation
- Y2K created opportunity to reduce # orphan systems
- Standardization of selected system suites
- Bulk Procurement Decisions
- Operating System Standardization
  - HPUX, Windows, Linux
- Outcome:
  - reduced system diversity
  - less Configuration Management challenges

# ATM System Interdependencies



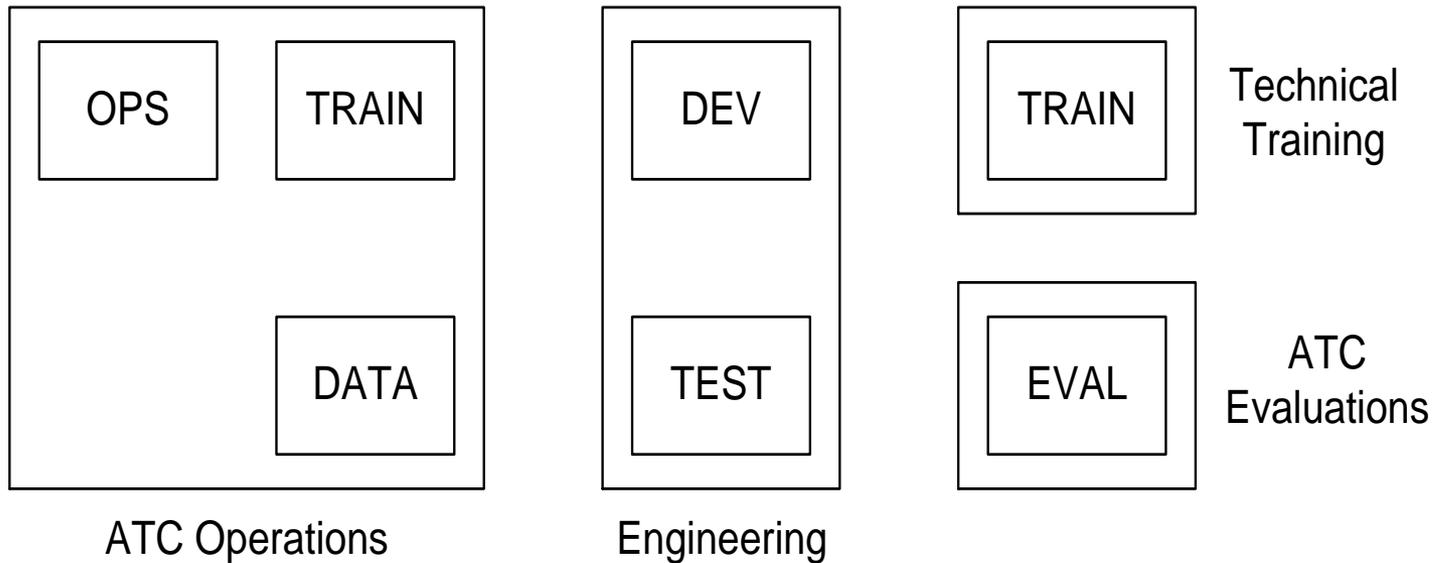
Transitional State

# ATM System Interdependencies



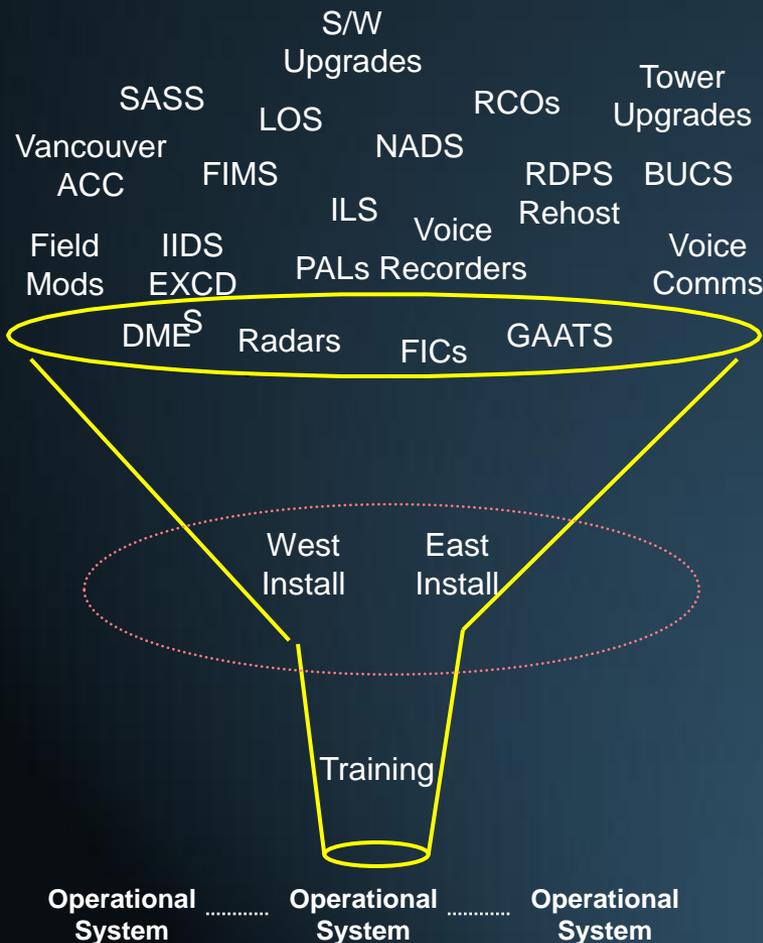
End-State

# ATM System Installations



A single ATM system configuration typically ends-up being replicated at multiple sites

# New System Development

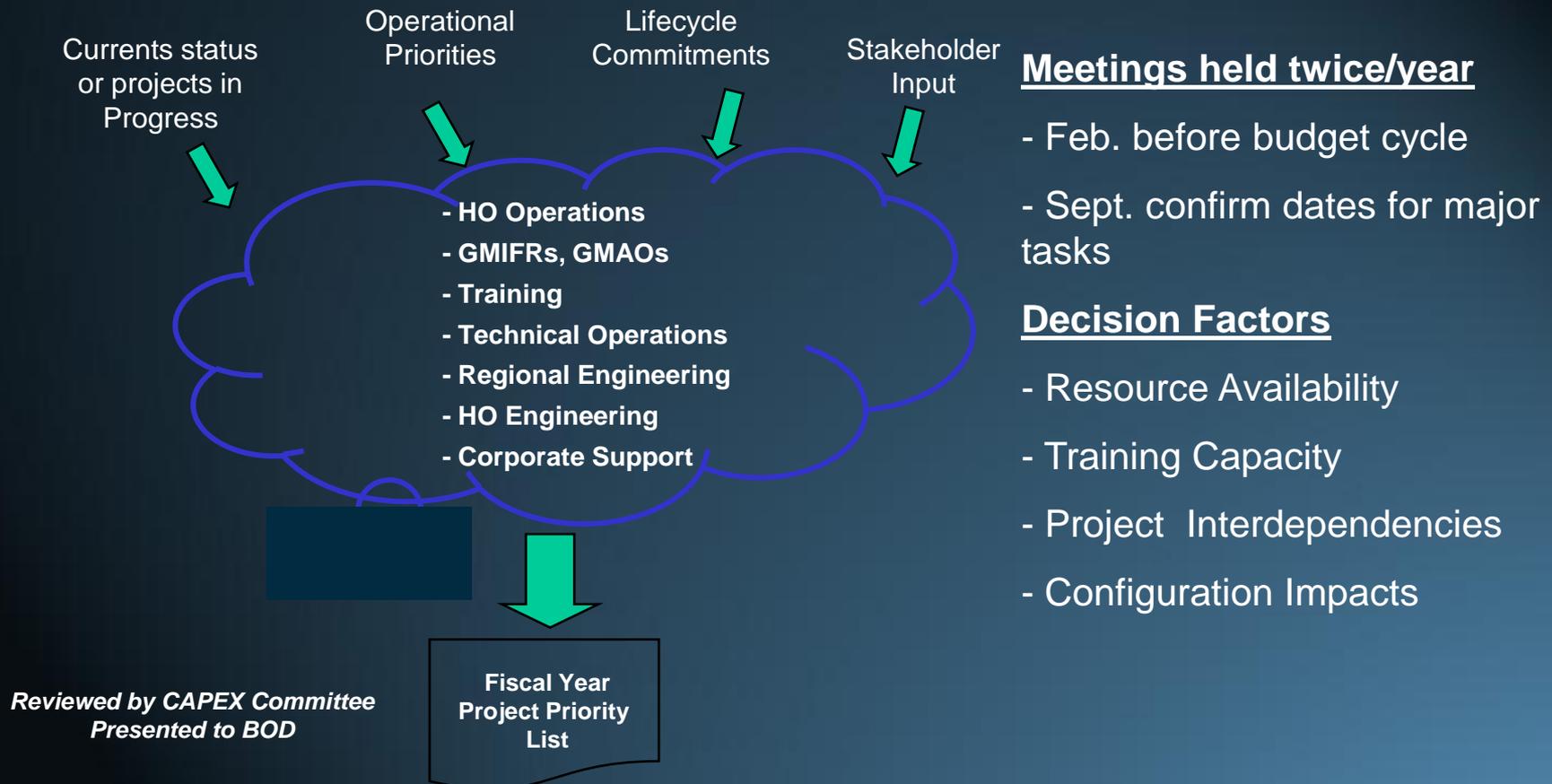


Greatest limitations are related to installation and training resources

Required a Priority Planning Process to address the multiple workload and organizational bottle necks

Substantial backlog of functional capabilities ready to be fielded

# Priority Planning Process



# Maintenance Management

# Maintenance Standards & Procedures



- Standards and Procedures (S&P)
  - Documents baseline configuration changes between Engineering and Technical Operation (Maintenance)
  - Engineering life cycle manages S&Ps
- Field Modifications – Specific S&P
  - Implementation date guidance to Technical Operations
  - S/W implementation verification – First sites
- Engineering / EL Competency
  - Training – System Proof of Performance
  - Ensures staff are capable and trained on installation
- S/W Release Packages
  - System and software version compatibility statements
- Waivers required on any changes to configuration baseline
  - Expiry date, LCM Management approval, tracked

# Data & Work Order Management



- Technical Data Documentation Centre
  - Technical Data Management System
  - Baseline system drawings and drawing changes
  - Coordination between HO/Regions/Sites
- MAXIMO - Maintenance Management System
  - Work order concept
  - All Software releases subject of Field Modifications
  - All Field Modifications results in MAXIMO work orders
    - Provide ability to determine S/W implementation status for all systems at all sites

# TECHNICAL OPERATIONS

NAV CANADA

## Quality and Safety Program:

To ensure the integrity of the processes used to maintain the Air Navigation System

## Elements

- ISO 9001:2000 Service
  - Internal and external audits
- ISO 14001:2004 Environmental
- TechOps Safety Management System



## Performance Measurement tool

### Maintenance Management System (MMS)

- Maximo computerized reporting system
  - parent / child, workorder generated system,
  - real time reporting,
  - single oracle centralized database,
  - available to any NC employee, NCI (Web) base,
  - routine and special performance reports available

- Work Order based Maintenance Management System
  - Keeps track of maintenance
  - PM Scheduling
  - Times
  - Travel
  - Parts Used
  - Keeps track of configuration (Hardware & Software)
  - Keeps track of Certification
  - Reports (Canned & Discoverer)
  - Off-line application (Akwire)
  - Configuration Template Utility (CTU)
  - Web page

# Certification Due Canned Report

Lead Craft/ CM Principal	WO/ BT	Equip. No./ No. Équip.	Location/ Emplacement	WO/ BT Description/	Job Plan/ Gamme d'opération	Targ. Start/ Début plan	Cert Timeout Date/ Date d'échéance	Status/ Statut
<b>TECH-ZQX</b>								
	ZQX-763118		RSITWS01-RDPS-ZQX	PM for Location: RSITWS01-RDPS-ZQX	5-6SIT-12-W	2005/10/19 07:01	2005-10-30	WSCH
	ZQX-763122		RSITWS03-RDPS-ZQX	PM for Location: RSITWS03-RDPS-ZQX	5-6SIT-12-W	2005/10/19 07:01	2005-11-15	WSCH
	ZQX-763133		RSITWS12-RDPS-ZQX	PM for Location: RSITWS12-RDPS-ZQX	5-6SIT-12-W	2005/10/19 07:01	2005-11-22	WSCH
	ZQX-763134		RSITWS13-RDPS-ZQX	PM for Location: RSITWS13-RDPS-ZQX	5-6SIT-12-Q	2005/10/19 07:01	2005-10-22	WSCH
	ZQX-763143		TESS-RDPS-ZQX	PM for Location: TESS-RDPS-ZQX	5-11RDPS-12-A	2005/10/19 07:00	N/A	WSCH

[d:\Max411\Reports\WOCERTSM.RPT] 5 of 7 100% Total:73 100% 73 of 73

**Work Orders and Certification Summary** Produced/Produit: 2005/10/19 17:53  
**Sommaire des Bons de Travail et de la Certification** Page 5 of 7  
 Highlighted in red when last certification date plus max limit has passed. Highlight in yellow when last certification date plus max limit is due within 7 days. /  
 Accentué dans le rouge quand la date passée de certification plus la limite maximum est due dans les 7 jours.

Lead Craft/ CM Principal	WO/ BT	Equip. No./ No. Équip.	Location/ Emplacement	WO/ BT Description/	Job Plan/ Gamme d'opération	Targ. Start/ Début plan	Cert Timeout Date/ Date d'échéance	Status/ Statut
<b>RAD-YUL</b>								
	YUL-743671		ASDE3-YUL	PM pour Park Air Systems ASDE3 - Dorval, HEBDO	5-3ASDE3-12-W	2005/10/05 07:01	N/A	WSCH
	YUL-743668	M559539	ADAP-01D52-YUL	PM pour ADAP, Tour de Montréal, YUL	5-601D52-12/LM	2005/10/12 07:01	2005-09-24	WSCH
	YUL-743672		ASDE3-YUL	PM pour Park Air Systems ASDE3 - Dorval, HEBDO	5-3ASDE3-12-W	2005/10/12 07:01	N/A	WSCH
	YUL-743723		SUPU-TSR-YUL	PM pour S UPU, Montréal TSR, YUL	5-9TSR-12/5B/5-10ISSR-12/5B/5-13S UPU-12-Q	2005/10/12 07:01	N/A	WSCH
	YUL-761594		ASDE3-YUL	PM pour Park Air Systems ASDE3 - Dorval, HEBDO	5-3ASDE3-12-W	2005/10/19 07:01	N/A	WSCH
	YUL-761595	M546241	BMR-UFX-YUL	PM pour Bmr du Ndb Ufx de St-Rémi-de-valois, 260 Khs, Yul	4-2BMR-12-A	2005/10/19 07:01	N/A	WSCH
	YUL-761597		IPU-TSR-YUL	PM pour IPU, Montréal TSR, YUL	5-9TSR-12/5A-Q	2005/10/19 07:01	N/A	WSCH
	YUL-761599		IPU-TWR-YUL	PM pour IPU, Tour Dorval	5-14IPU-12-M	2005/10/19 07:01	N/A	WSCH
	YUL-761621		ASDE3-YUL	PM pour Park Air Systems ASDE3 - Dorval	5-3ASDE3-12-M	2005/10/26 07:01	2005-05-09	WSCH
	YUL-761622		ASDE3-YUL	PM pour Park Air Systems ASDE3 - Dorval, HEBDO	5-3ASDE3-12-W	2005/10/26 07:01	N/A	WSCH
	YUL-761627		FAC-TSR-YUL	PM pour FAC, Montréal TSR, YUL	5-9TSR-12/6-SA	2005/10/26 07:01	N/A	WSCH
	YUL-761628		IPU-ASDE-YUL	PM pour IPU, ASDE YUL	5-9TSR-12/5A-Q	2005/10/26 07:01	N/A	WSCH

# Maintenance Management System (MMS) Web Page

MMS Reports - Active Work Order Report Search - Netscape

File Edit View Go Communicator Help

Back Forward Reload Home Search Guide Print Security Stop Netscape

Location: http://intranet.navcanada.ca/scripts/maint/MMSWoRep.asp

NC Home Feedback

## MMS - Active Work Orders

[Back to Main](#) | [Scheduled Maintenance](#) | [History](#) | [By Workorder number](#)

Service: ANS  TOCC

FIR / TOCC

Maintenance Centers

Sort

WO Status

WO Type

Event Start Date  
From:       
YYYY MM DD HH MM (GMT)

To:       All dates (GMT)

[français](#)

Document: Done

# MMS List of Active Workorders

## MMS - Active Work Orders

[Back to Main](#)
[Scheduled Maintenance](#)
[History](#)
[By Workorder number](#)

Note: All dates shown are in GMT format

Current Filter:	FIR/TOCC: (All) MC: (All) Service: (TECH)	Supervisor:
	Type: (IR)	Lead Craft:
	Status: (All)	Crew:
	Event Start Date: (All dates)	Log Labour Code:
	Sort: (Date Descending)	Log Type:
		Location:

Last Refresh: 2007/03/05 19:57

[New Search](#)

#	Work Order	Location	W.O. Description	Status	Type
1	<a href="#">MEG-1077887</a>	RCO-2971-4675-8891-11279-YYB-YCB	CB HF RCO's to YB u/s	INPRG	IR 20
2	<a href="#">MUL-1077844</a>	DME-VBS	VBS DME alm moniteur 1	INPRG	IR 20
3	<a href="#">MUL-1077829</a>	NFDPS-ZUL	N58 papier	COMP	IR 20
4	<a href="#">MUL-1077825</a>	ETMS-ZUL	ZUL ETMS U/S,DSC indique que ETMS fait planté NFDPS	COMP	IR 20
5	<a href="#">MVR-1077813</a>	WTMD-ATB-YXT	XT WTMD U/S	INPRG	IR 20
6	<a href="#">MUL-1077808</a>	NFDPS-ZUL	ZUL- Bornier ETMS U/S.	COMP	IR 20
7	<a href="#">MVR-1077806</a>	FWGS-AOC-YKA	KA FWGS CPU pos 5 U/S	INPRG	IR 20
8	<a href="#">MUL-1077639</a>	IIDS-YMX	MX- MX_08 Pas de polling.	INPRG	IR 20
9	<a href="#">MUL-1077618</a>	ISSR-YFB	FB- Lien MDT A intremittant 05DYCE918991-000BLCA-000	INPRG	IR 20
10	<a href="#">MUL-1077589</a>	XRAY-ATB-YOW	YOW Xray M21182 Bagage room red flashing	INPRG	IR 20
11	<a href="#">MQX-1077571</a>	NDB-DP-YDP	DP (NAIN) NDB U/S	INPRG	IR 20

# Detailed Work Order, In-Progress

[Back to Main](#)

Note: All dates shown are in GMT format

Work Order:	MEG-1077455			Status:	COMP		
Location:	GP-IRB-YRB (Glide Path PHL7801 2C2F, Rwy 347T , Resolute Bay, IRB, YRB)			Event Start Date:	2007/03/05 03:17		
W.O. Description:	RB GP U/S TOC rectified.			W.O. Completion:	2007/03/05 15:59		
Work Type:	IR - Interrupt Report			Planned Target Start:			
Reporting Codes:	EQUIP, TBA, MREC			Planned Target Completion:			
Reported by:	SKANESR	AOR#:		Release Required?	Y	Response Priority:	2
Supervisor:		Lead Craft:		Crew:		Belongs To:	

Labour	Start Date	Start Time	End Date	End Time	Hours	Log Type	Log Details
TOC-YEG	2007/03/05	15:59			00:00	NIL	RB tech advised
TOC-YEG	2007/03/05	05:47			00:00	NIL	RB GP good for hour. Notam cancelled. Info for RB Techs in morning.
TOC-YEG	2007/03/05	04:48			00:00	NIL	AMCS able to connect. Able to pulse GP back on. Showing in green. Will monitor before cancelling notam.
TOC-YEG	2007/03/05	03:30			00:00	NIL	RB site has updated AMCS status on GP failure but AMCS still unable to connect to RB ILS.
FSS-YYB	2007/03/05	03:21			00:00	NIL	Artic Radio( Joane) received a call from the Cars stration ( Andrew) That the RB GP had failed. AMCS shows green presentally. Unable to connect to get update. Notam GP U/S Tiii 070305 2359z.

•Total number of work orders generated:	<b>214,213</b>
• Average number of work orders per Month:	<b>17,851</b>
•Work orders type:	
Loss of Service or Equipment failure (IR)	7804
Preventative Maintenance (PM)	105393
Corrective Maintenance (CM)	40010
Field Modifications (FM)	4950
Second Level Support (SLS)	230
Degraded Service (DEGR)	23802
Decertified Systems (DCERT)	63
Release Requests (REL)	14627
Other	17334

AOR OCCURANCES STATISTICS BY SYSTEM (%)					
System	2003	2004	2005	2006	Total
Comm	11.96	17.92	14.99	12.56	14.70
ATM	18.27	16.89	24.70	22.15	21.24
ILS	16.61	17.24	14.75	11.57	14.79
NavAids	8.31	15.53	17.63	15.54	15.35
Other	4.32	1.71	0.36	0.33	1.20
Power	11.96	7.85	6.83	7.77	8.00
Radar	10.63	3.58	4.20	5.95	5.33
TelCo	17.94	19.28	16.55	24.13	19.39
Total	100	100	100	100	100

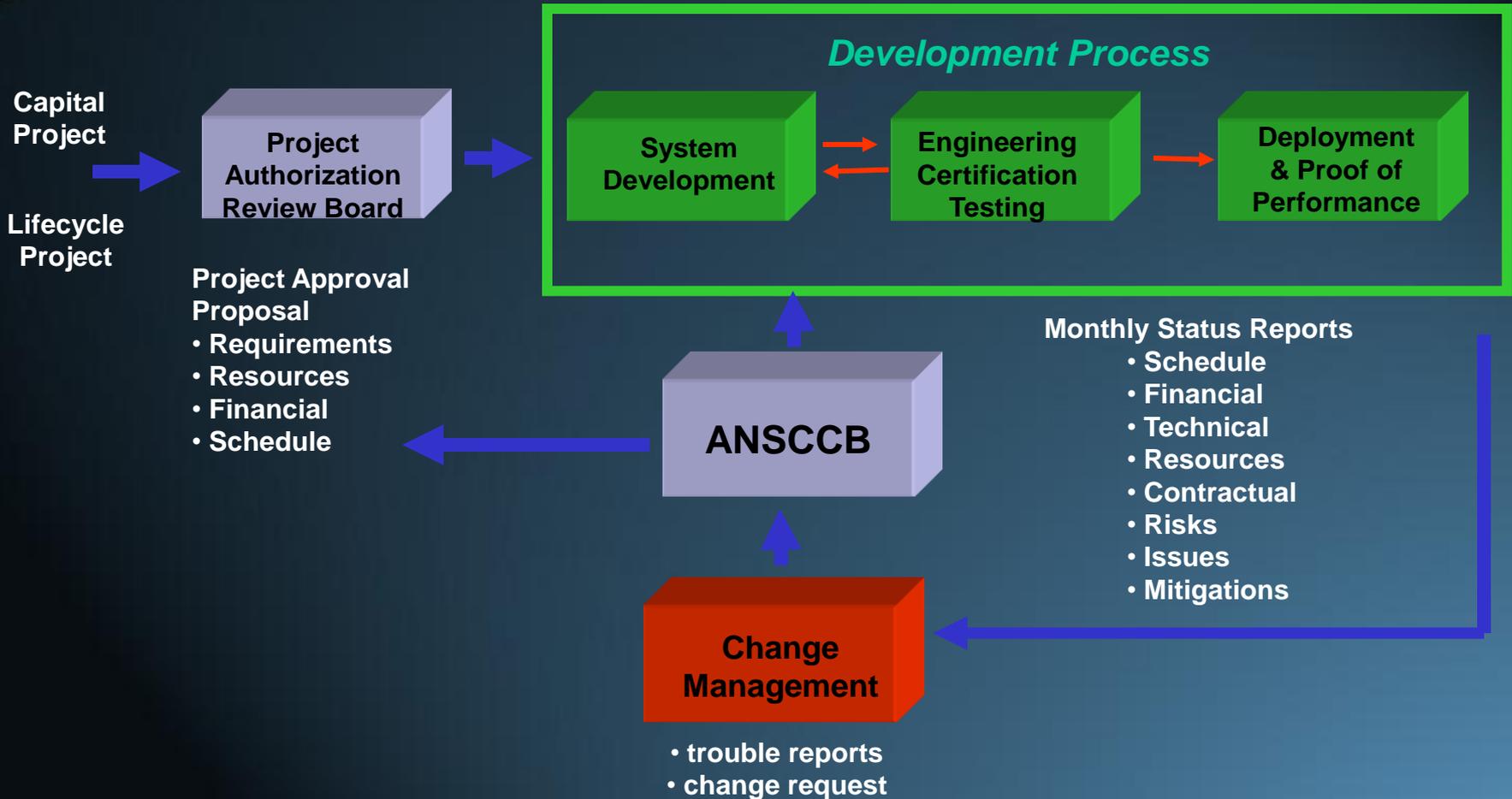
## Level of Activity 2006

## TOP 20 Workload Generators March 2007

Location	Description	IR
XRAY-ATB-YVR	Xray ATB Vancouver, YVR	16
NFDPS-ZUL	Système National de Traitement des Données de Vol, Montreal, ZUL	11
TRACE-ATB-YVR	TRACE EDT (Explosive detection Terminals), ATB, YVR	8
ILS-IYQ-YYQ	ILS 33, Churchill 110.3, IYQ,YYQ	7
VIS-XRAY-ATB-YVR	VIS Integrated Baggage Xray ATB Vancouver, YVR	6
VSCS-ACC-ZUL	Voice Switch Control System, Dorval, ACC, ZUL	5
AFTN-YGL-1-YGL	AFTN, AT&T# IYNAF005, TELEBEC # 01FDDA865625	4
RSITWS11-RDPS-ZYZ	Flow Control, RSITWS 11, RDPS-ZYZ	4
XRAY-ATB-YYZ3	Xray ATB Toronto, YYZ3	3
RDPS-ZEG	Radar Data Processing System, Edmonton, ZEG	3
RVR-B-IJG-YHZ	RVR VIS Sensor B, Rwy 23, Halifax, IJG, YHZ	3
TAC-YJT	TACAN AN/GRN-516, 113.1 MHz Ch 78, Stephenville, YJT	3
FAA-MOCC-SI	FAA Mid-States Operations, Kansas	3
VDF-YQK	VHF DF ACI 8810, 122.2, 126.7, Kenora, YQK	3
IIDS-ZUL	Système intégré d'affichage d'information, Montreal, ACC, ZUL	3
VOR-YGH	VOR CMC8703, 112.3 MHz, Fort Good Hope, YGH	3
NATSIM-ZUL	NATSIM ZUL	3
DME-YBK	DME RYC7502, Ch 92, Baker Lake, YBK	3
XRAY-ATB-YVR-DOMESTIC-SOUTH	Xray Domestic South Security Point, ATB, Vancouver, YVR	3
XRAY-ATB-YYJ	Xray ATB Victoria, YYJ	3
Grand Total		97

# Engineering Management

# Overall Engineering Management Process



NAVCANADA staff (with limited contractor support) manages and performs Life-Cycle activities.

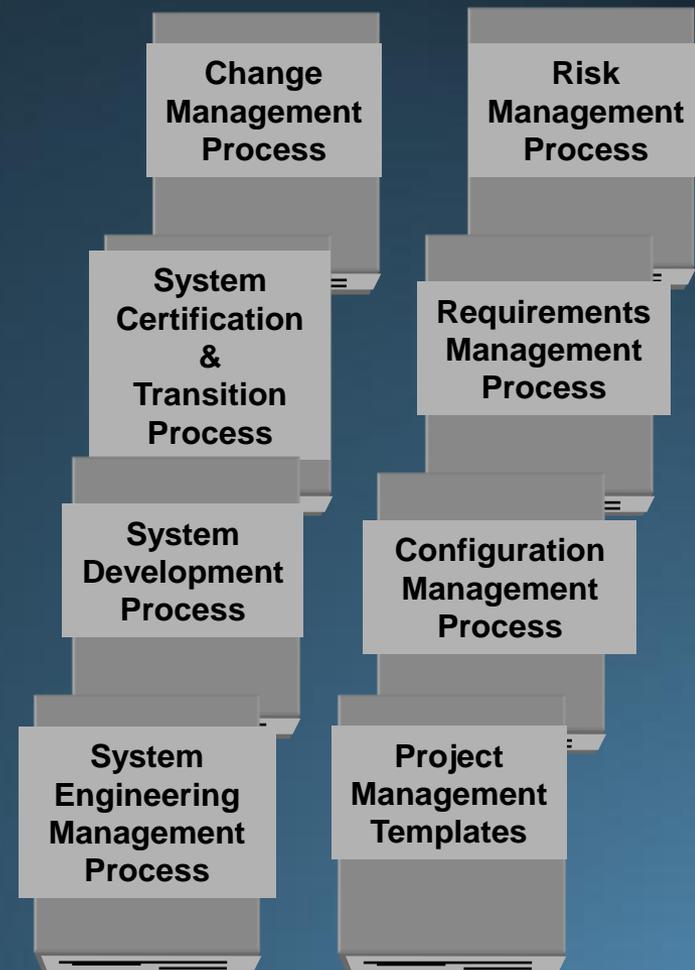
For many systems this includes:

- system engineering & design
- software design & development
- system integration (hardware/software)
- independent certification testing
- configuration management
- software releases & support services
- technical support to all field sites
- develop approved field modifications

# Engineering Management Quality System

NAV CANADA

- Processes, procedures and guidelines that define a standard approach to System Engineering development and life-cycle support
- Applicable to all NAV CANADA engineering system development and life-cycle support projects
- Tailored according to System Safety Level
- System Engineering Management Plan (SEMP) confirms tailoring of EMQS for specific projects/system



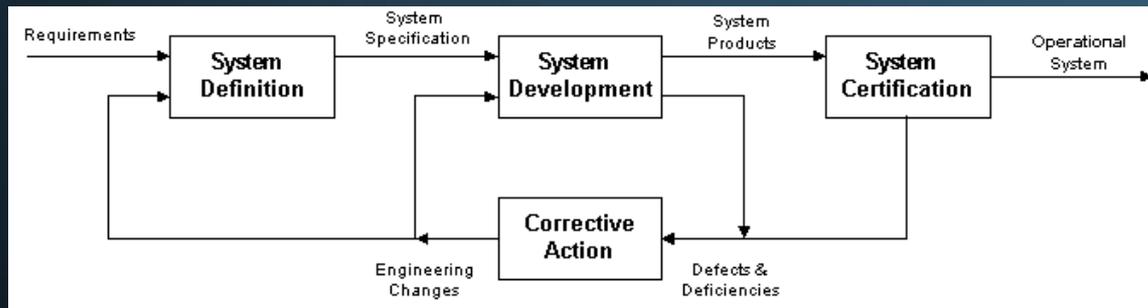
- **Implemented an integrated project scheduling process**
  - Utilizing MS project
  - Customized mandatory fields for reporting
  - Standard Project Template
  - Schedules tailored for size and complexity of project
  - Schedule updated by project managers directly
  - Mandatory status update monthly
  - Schedules are consolidated and reports published monthly available to stakeholders

# Engineering Life-Cycle

NAV CANADA manages and performs the following

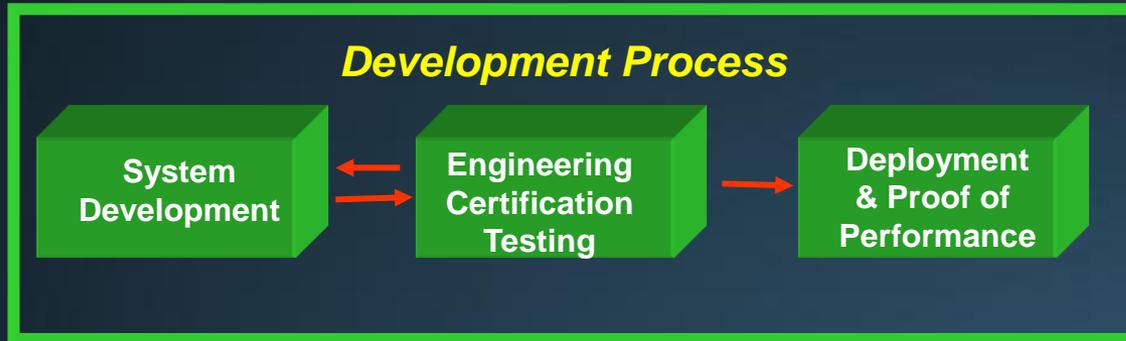
- System Definition
- System Development
- System Certification Test and Transition
- Change Management

Processes define the procedures & guidelines to be followed



Includes CM Plan, Audits, configuration items, control, etc...

# Certification Testing



Testing Environment reflective of operational configuration – less combinations

Independent Testing Authority  
Test-Bed Configuration Audits  
Testing of Transition Plans

## Quality Measurement

- # UCRs found in Eng. Certification
- # Iterations in Eng. Certification
- # UCRs found after deployment
- # Iterations in deployment

•S/W Interdependency –Testing priority - weekly review

# Engineering Safety Management System



- ESMS defines processes to be tailored to satisfy specific requirements
  - Safety Plan
  - System Safety Analysis
  - Safety Validation Plan
  - Hazard Log
  - Safety Validation (FAT, SAT)
  - Safety Case –evidence based

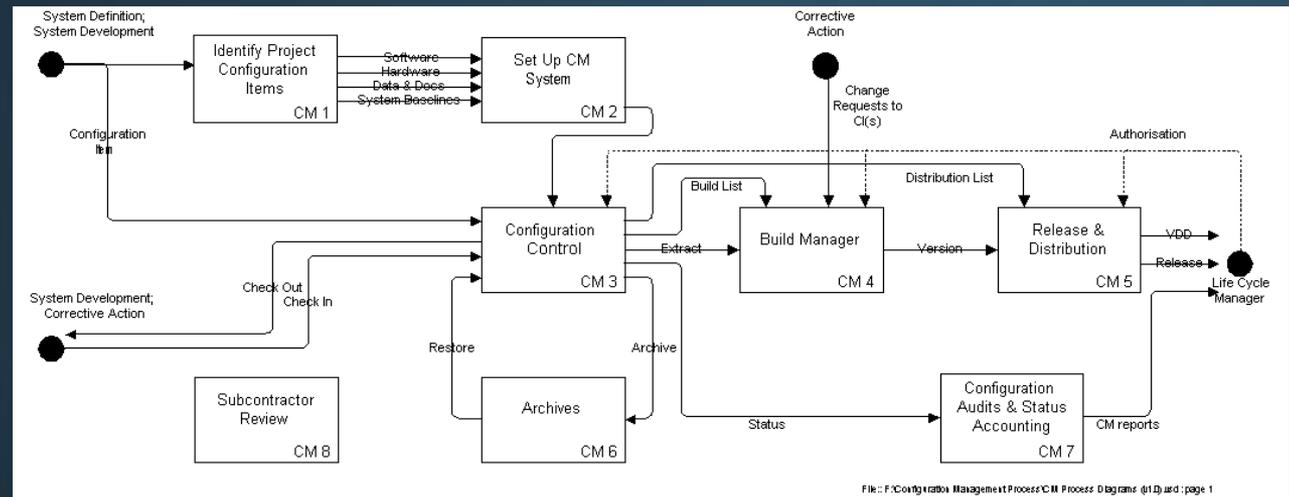
# Configuration Management

# CM Specific Objectives & Processes



- Our EMQS includes provisions for establishing and maintaining data on the status of identified configuration items and maintain the integrity of the work products throughout the products lifecycle.

- Identify
- Set-up
- Control
- Build
- Release
- Distribution
- Archive
- Audits
- Status
- Reviews



# Requirement Traceability

- Requirement Management Plan established
- DOORS (Telelogic/IBM)
- End-User requirements linked to software requirements
- Acceptance tests linked to end-user requirements
- Engineering tests linked to derived (SW) requirements
- Supports code and test product reviews
- Analysis capabilities
  - used to confirm requirements coverage for software & tests
  - tracking of test results

# Problem Reporting & Change Controls



- Problem Reporting
  - NESAs (NAV CANADA Engineering Support Application)
  - Web based application based on Remedy
  - UCR- Unsatisfactory Condition Reports
- ANSCCB – Change Process
  - CP - Change Proposals
  - Life-Cycle Management Sort Committee
  - Prioritization/Authorization of changes
  - S/W implementation verification
  - Output – field modifications
  - Initial fielding testing of FMs

# Unified Change Management

- On recent ATM projects:
  - Adapted the Rational Unified Process
  - Using ClearQuest & ClearCase toolset
  - Supports project specific processes/practices
  - All changes controlled and traceable
  - Concurrent support for multiple target platforms
  - Applied in an iterative development approach
  - Applied in a multi-site development configuration
  - Supports evidence-based integrity assurance
  - Facilitates internal and external audits

# ED-109 Software CM Process Objectives



- Applied on recent ATM projects/systems
- Applicable to all Assurance Levels
- Auditable, evidence-based process
- Approach is seen as beneficial across all aspects & wider application is under consideration

	Objective	Ref.	Applicability By Assurance Level				
			AL 1	AL 2	AL 3	AL 4	AL 5
1	Configuration items are identified.	7.2.1	○	○	○	○	○
2	Baselines and traceability are established.	7.2.2	○	○	○	○	○
3	Problem reporting, change control, change review, and configuration status accounting are established.	7.2.3 7.2.4 7.2.5 7.2.6	○	○	○	○	○
4	Archive, retrieval, and release are established.	7.2.7	○	○	○	○	○
5	Software load control is established.	7.2.8	○	○	○	○	○
6	Software life cycle environment control is established.	7.2.9	○	○	○	○	○

## Examples (variances between systems & projects)

- Requirements Management
  - Telelogic DOORS + project specific tools
- Defect & Change Tracking
  - NAV CANADA Engineering Support Application (NESA)
    - Based on Remedy ARS - LCM
  - Rational ClearQuest
  - Programmer Work Instructions (PWIs)
- Configuration Management
  - Rational ClearCase,
  - Rational Apex CMVC (Integrated with Remedy ARS)
  - SCCS

# Configuration Management Future Opportunities

# Configuration Management Future Opportunities



- **MAXIMO/NESA**
  - Integration of System Maintenance & Change Management tools
    - Defect Report → Review → Change Control → Defect Resolution → Software Release → Field Modifications → Implementation
- **Validation of Adaptation Data**
  - On-site adaptation and validation systems
  - For new releases, 56-day update cycles and other instances
- **Training Systems**
  - Increased fidelity and training specific functionality
- **Integrated Safety Management System**
  - Engineering, ATC Operations, Technical Operations